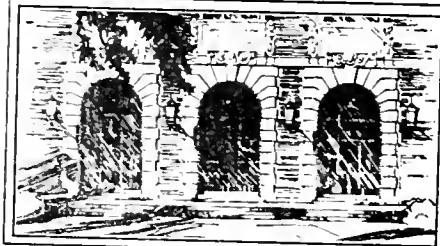


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December 1975

**THEORY, RESEARCH, POLICY AND ACTION:
A Bibliography on Planning and Social Analysis**

Thomas H. Jenkins
Associate Professor of Urban Planning and Sociology
University of Cincinnati

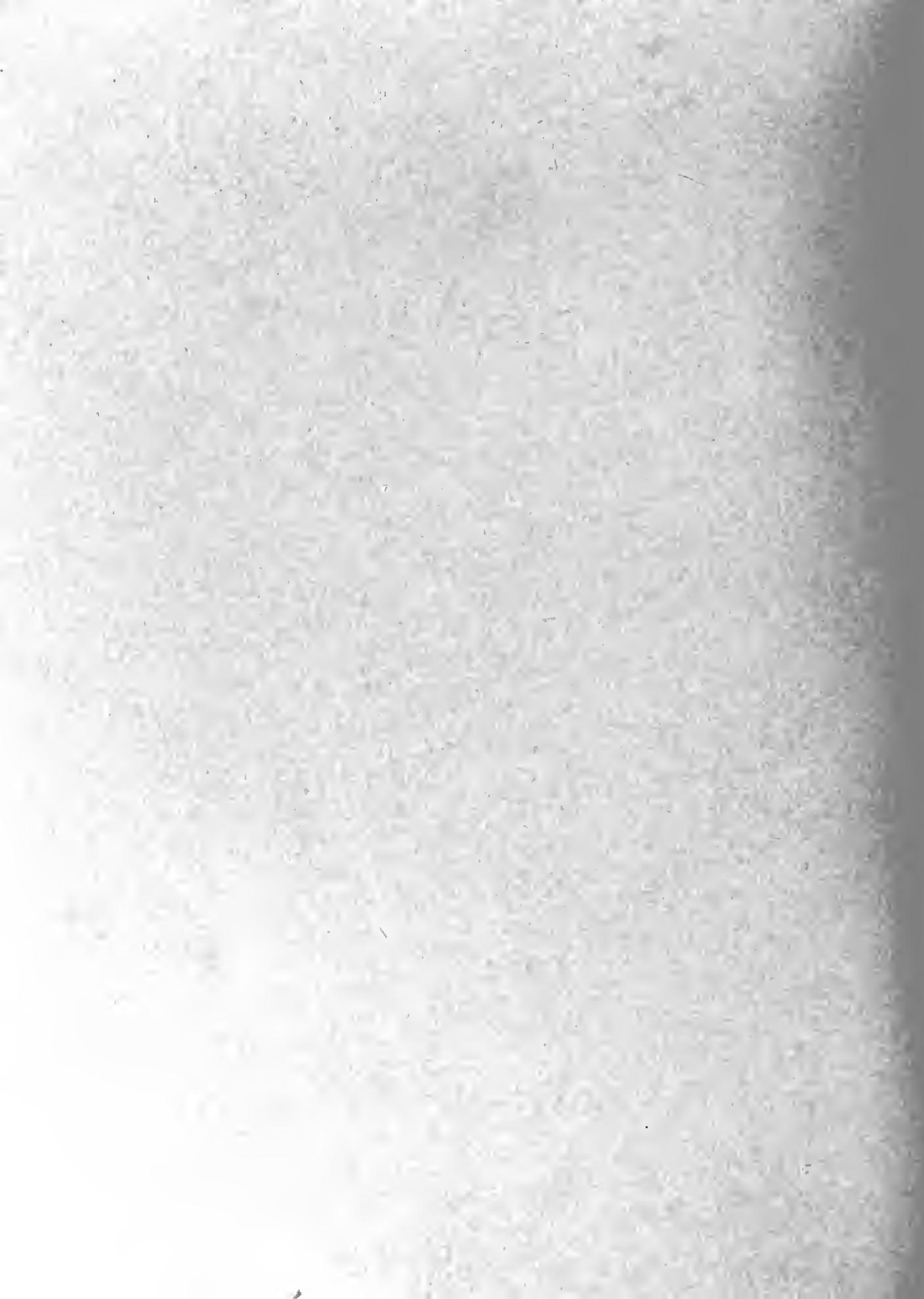
and

Robert Seufert
Department of Sociology
University of Cincinnati

Mrs. Mary Vance, Editor
Post Office Box 229
Monticello, Illinois 61856

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University of Illinois
at Urbana Champaign



THEORY, RESEARCH, POLICY AND ACTION:

A BIBLIOGRAPHY ON PLANNING AND SOCIAL ANALYSIS

by

Thomas H. Jenkins
Associate Professor of Urban Planning and Sociology
University of Cincinnati
and
Robert Seufert
Department of Sociology
University of Cincinnati

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INTRODUCTION

The purpose of this bibliography is to illustrate the application of social science theory, methodology and research to urban action programs and to social policy planning. It is based on the premise that appropriately applied social theory, methodology and research can make valuable contributions to planning, policy development and implementation. The reference selection is oriented primarily to social and city planners; however, the scope of the bibliography makes it important for anyone interested in the relationship between social science and social policy planning designed to attack urban and public problems.

This is a selective "working" bibliography. It consists of the following components: (1) a list of principal works; (2) fifteen topic sections; and, to lend concreteness and utility, (3) two additional sections: a listing of illustrations and specific case studies; and a beginning list of relevant periodicals and other resource materials. The "principal works" listed at the beginning, are important to the subject and some of these may be found, in whole or part, in other sections of the bibliography. Each topic section is divided into "Books and Monographs" plus "Articles, Papers, and Chapters."

This is not an annotated list. However, in a few cases, some detail of the contents of a book or article is given where it is felt to be especially useful to do so. Most of the listings here were written or published in the 1960's and 1970's, mainly from 1965 to 1975. But a few relevant works date from the 1950's or earlier. The remaining comments may clarify the contents of the bibliography sections.

A plan constitutes a policy; however, planning itself may be seen as a form of social action. Social science seeks to define, analyze and understand social reality. In contrast, planning endeavors to design viable plans for social change. Social science seeks to discover, investigate and clarify problems. Planning seeks to modify and improve problematical conditions. On this basis, the first section of the bibliography distinguishes between study versus action by viewing social science, e.g., sociology, as problem-finding, and planning as problem-solving.

The next five topic sections (II to VI) deal with the relationship between theory, methodology and research, plus their application to research issues, urban problems, and planning. The next following six topics deal with policy, its study and use, and especially its relationship to research and action. This is a very important part of the bibliography because policy is where social science and planning can fruitfully meet. Policy and policy research have each been defined as a guide to social action. The policy sciences "study the process of deciding and choosing, and evaluate the relevance of available knowledge for the solution of particular problems," according to Lasswell (1971). Planning is accomplished largely through decision-making, making choices among alternatives. The policy sciences approach is being applied to such areas as city planning, law and modernization.

This part of the bibliography includes a section of references on the meaning of "action" and the relation of policy to various forms of action, including law, public administration and city planning. But to illustrate the relation of policy to action in a more definitive way, a special section on social welfare policy and the wealth redistribution process is also provided. This literature is oriented toward both the advancement of "discipline" knowledge and social policy understanding and formation. It examines the relative importance of political versus socioeconomic variables for wealth redistribution via social welfare.

A section on social indicators is included, and for very good reason. Social indicators are a form of monitoring, social measurement and social accounting. As such they can be utilized for policy, planning and action. They not only function to integrate theory and data; they can also be helpful for evaluation research. And evaluation research, on which there is also a section in the bibliography, can be employed as a means of estimating and judging the implementation of applied research, as well as for measuring the achievement of program goals and objectives.

Closely linked to these tasks of monitoring, measuring and evaluating, is the task of understanding and mastering the problems and process of actually utilizing knowledge and research for policy, planning and action. The fifteenth topic section of the bibliography is devoted to literature on such utilization.

To make the bibliography useful beyond these fifteen topic sections, we have added a list of case studies and illustrations, plus a list of suggested resource materials.

Most of the listings in this bibliography were gathered (1) for one of three courses on "Planning and Social Analysis," taught by Professor Jenkins for five years; and (2) for recent doctoral dissertation work by Mr. Seufert. Also, both authors share an interest in the social aspects of planning and social policy development.

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Merton, Robert K. "Role of the Intellectual in Public Bureaucracy," in Robert K. Merton, Social Theory and Social Structure. New York: The Free Press, 1968, enlarged edition.

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Millikan, Max F. "Inquiry and Policy: The Relation of Knowledge to Action," in Daniel Lerner, ed., op. cit.

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Schnore, Leo F. and Henry Fagin. "Some Research Priorities," in Schnore and Fagin, eds., *ibid.*

Schnore, Leo F. and Henry Fagin. "Preface," in Schnore and Fagin, eds., *ibid.*

Schnore, Leo F. and Eric E. Lampard. "Social Science and the City: A Survey of Research Needs," in Schnore and Fagin, eds., *ibid.*

Special Issue: "From Theory to Policy: Economic Development and Urban Planning," Ekistics, 32, November 1971. Twelve articles, plus a foreword by the editors. The articles deal with theories and policies related to urban form and change, and general urbanization. They point to the problem of "integration of partial theories in the implementation of needed policies to deal with the present flood of people to the cities."

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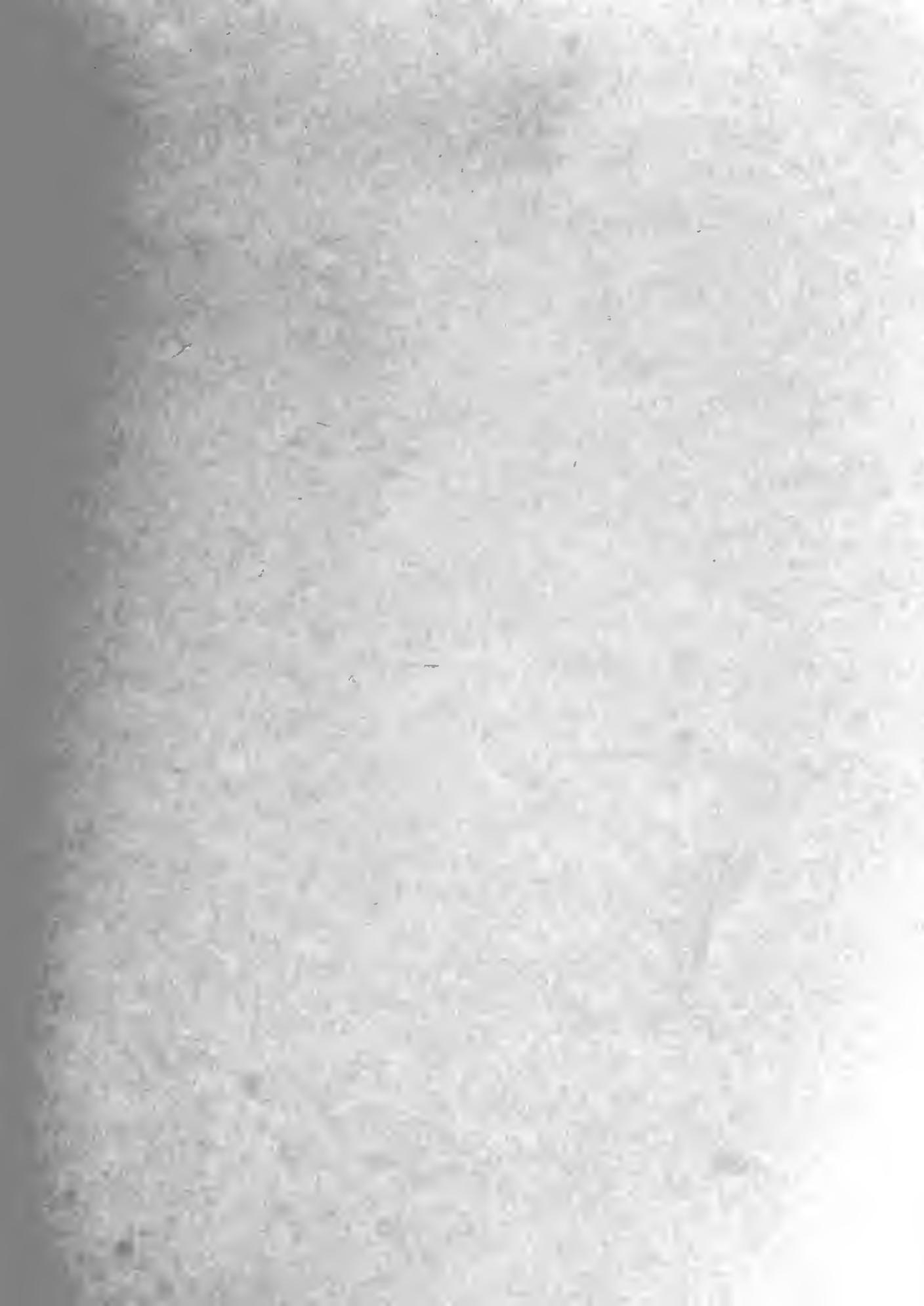
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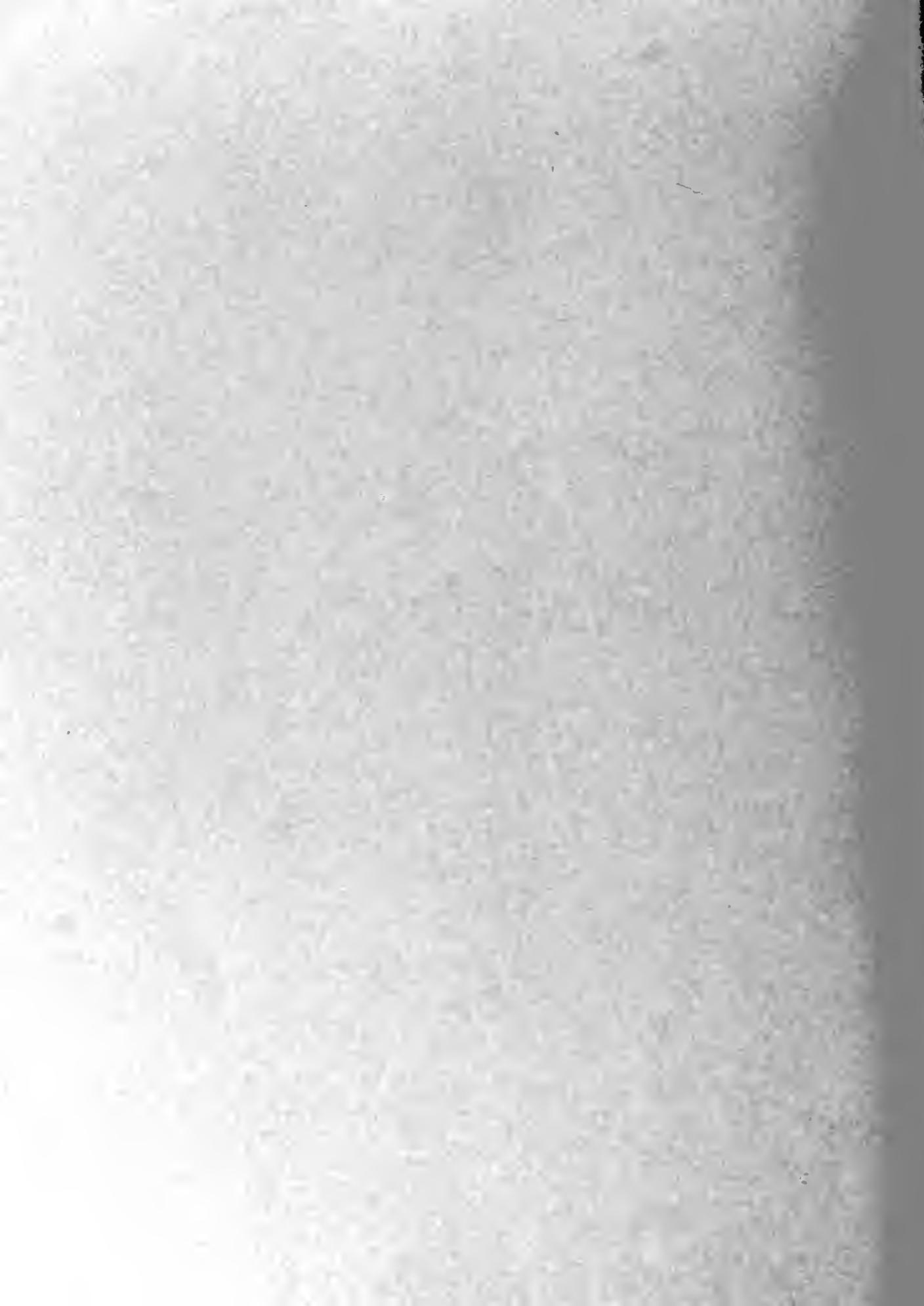
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